157. The First Asymmetric Intramolecular *Stetter* **Reaction**

Preliminary Communication

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The first asymmetric intramolecular *Stetter* reaction is reported, using the chiral triazolium salt **1** as catalyst. Starting from the easily accessible **4-(2-formylphenoxy)but-2-enoates 2,** this protocol opens up an enantioselective pathway to the benzo-annulated pyran-4-ones (chroman-4-ones) **3a-h** with good yields and enantiomeric excesses of up to 74%.

Introduction. - Since its discovery in 1973, the *Stetter* reaction evolved to one of the most important *Umpolung* procedures for the synthesis of 1,4-dicarbonyl compounds. It has since found widespread application in the synthesis of organic key intermediates and in natural-product synthesis [l]. Its central feature and great advantage is the catalytic *in situ* formation of the acyl-carbanion equivalent, which normally requires a further synthetic step. Recently, an interesting intramolecular variant of the *Stetter* reaction was developed by *Ciganek,* which leads to the formation of benzo-annulated furanones and pyranones [2]. These compounds are potential intermediates for the synthesis of heterocyclic analogs of steroids [3] and important building blocks for the preparation of certain isoflavonoids such as pterocarpans and isoflavanones, some of which display a strong fungicidal activity [4].

Recently, we published a novel asymmetric benzoin reaction using a chiral triazolium salt as catalyst **[5].** Since our group has been working on an enantioselective variant of the *Stetter* reaction for several years'), we tried to extend the applicability of this new catalyst class to the enantioselective *Stetter* reaction.

Results and Discussion. – We now report the first enantioselective intramolecular catalytic *Stetter* reaction, which opens up a short and efficient pathway to enantiomerically enriched chroman-4-ones. Using the catalyst **(4S,5S)-4-(2,2-dimethyl-4-phenyl-** 1,3 **dioxan-5-yl)-l-phenyl-4H-l,3,4-triazol-l-ium** perchlorate **(1) [5],** which is readily available *via* a large-volume intermediate of the industrial chloroamphenicol synthesis [7], a variety of substituted (3,4-dihydro-4-oxo-2H- 1 -benzopyran-3-yl)acetates **3** was obtained in good yields with ee's up to 74%. The 4-(2-formylphenoxy)but-2-enoates 2 are easily

^{&#}x27;) For other applications of **(+)-(S,S)-5-amino-2,2-dimethyl-4-phenyl-1,3-dioxan** and its **N-Me** derivative in asymmetric synthesis, **see** [6].

accessible *via* the corresponding salicylic aldehydes and 4-bromocrotonates [2]. **As** discussed earlier [S], the actual catalytic species, the nucleophilic carbene, is formed *in situ* by deprotonation of 1 with K_2CO_3 in the presence of the corresponding butenoate 2 *(Scheme).* The carbene first attacks the aldehyde function, leading to a species similar to that proposed by *Breslow* for the thiazolium-catalyzed benzoin reaction *(Breslow* intermediate) ^[5] [8]. The nucleophilic β -C-atom of this enamine then attacks the activated C=C bond of the *Michael* acceptor. After aqueous workup and column chromatography, the corresponding acetates 3 are obtained in 22-73% yield with ee's of 41-74% (Table). HIMICA ACTA – Vol. 79 (1996)

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Table. *Chroman-4-ones* **3** *Prepared by Asymmetric* Stetter *Reaction (ising the Chirul Catalyst 1*

") The position of the respective substituent is specified according to the numbering generally accepted for the chromanone system, as shown for the annulated benzo moiety of *3* in the *Scheme.*

b, The ee's were determined either by HPLC on chiral stationary phases, using a *Chiralcel OD (Daicel) or a (S,S)- Whelk-01* column, or by NMR shift experiments using **(-)-(R)-l-(9-anthryl)-2,2,2-trifluoroethanol** as chiral cosolvent.

The absolute configuration of **3a** was determined by investigation of the chemical-shift nonequivalences of the *Mosher* derivatives²). The other absolute configurations were assigned assuming a uniform reaction mechanism. **'j**

This result was obtained with an extended reaction time (48 h) using 50 mol- % of catalyst **1.** The values given in parentheses originate from the reaction with the same substrate over **24** h using 20 mol-% of **1.**

This result was obtained over 14 h using 10 mol-% of catalyst **1.** The values given in parentheses originate from the reaction with the same substrate over 14 h using 20 mol- % of **1.** e)

A benzo ring is fused to the chromanone system along $C(5)$ and $C(6)$. ')

The ee's were determined by HPLC using chiral stationary phases. Racemic samples of the $(3,4$ -dihydro-4-oxo-2H-1-benzopyran-3-yl)acetates were prepared using chiral thiazolium salts according to Ciganek [2]. The absolute configuration of **3a** was determined to be *(R)* by the well-established NMR shift nonequivalence technique using the Mosher derivatives²). The other absolute configurations were then assigned assuming a uniform reaction mechanism.

The observed absolute configuration of the products is in compliance with a simple transition-state model where the Ph group of the dioxane moiety shields the re-face of the intermediate formed by addition of the nucleophilic carbene to the aldehyde, therefore, directing the attack of the enoate *Michael* acceptor to occur with the less hindered face, *i.e.*, the si-face of the enamine (*Fig.*). The electrophilic part of the intermediate bearing the activated C=C bond is approached by the enamine β -C-atom (the part which is reminiscent of a *Breslow* intermediate) at its si-face, leading to an (R) -configuration at the newly formed stereogenic center.

Figure. Model explaining the facial selectivity in the asymmetric intramolecular Stetter reaction using cutalyst **1**

However, the strongly simplifying character of such a model should be kept in mind. **As** was outlined previously, the deactivation of the catalyst proceeds *via* the formation of the corresponding N-cyanobenzamidine **[5].** Further investigations with regard to the extension of this new method to a wider range of substrates are currently in progress, as well as the search for tailored catalysts with higher activities and enantioselectivities.

Conclusion. -The method described here represents the first asymmetric intramolecular Stetter reaction protocol. It opens **up** a catalytic pathway to a range of enantiomerically enriched **(3,4-dihydro-4-oxo-2H-l-benzopyran-3-yl)acetates 3** in good yields. Since both 1 and ent-1 are readily available in large amounts, both enantiomers of **3** are accessible in excess.

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²) To elucidate the absolute configuration of **3a**, the pyranone moiety was reduced to the corresponding cis-configurated alcohol and subsequently converted to the Mosher ester with MTPA chloride. The absolute configuration of the stereogenic center formed in the course of the Stetter reaction was then assigned on the basis of the chemical-shift nonequivalences caused by the diatropic ring current of the Ph ring in the MTPA ester *[9].*

Experimental **Part**

To a stirred soln. of 1.25 mmol of **4-(2-formylphenoxy)but-2-enoate** 2 and 0.118 g (0.25 mmol) of **1** in 40 ml of abs. THF, 17.5 mg of K,CO, were rapidly added at r.t. After 24 h, the mixture **wds** poured onto H,O, extracted with CH₂Cl₂, and dried (Na₂SO₄). The solvent was evaporated and the residue purified by flash column chromatography (silica gel; Et₂O/pentane 1:1) to give the chroman-4-ones 3 as colorless or pale-yellow oils, or crystalline solids.

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